

DRS

PATENT APPLICATION SERIAL NO. 10/070351

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE  
FEE RECORD SHEET

03/12/2002 MALI11 00000009 10070351

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PTO 1390 Page 1 of 1

US Dept. of Commerce Pat. &amp; Trademark Office

Attorney's Docket No.

22037

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 USC 371

US. Application No. (if known)

10/070351

INTERNATIONAL APP. NO.  
PCT/EP00/03489

INTERNATIONAL FILING DATE  
18 April 2000

PRIORITY DATE CLAIMED  
19 April 1999

## TITLE OF INVENTION

**IMPULSE SOUND TRANSDUCER WITH AN ELEMENTARY BLOCK MADE OF PIEZOELECTRIC MATERIAL**

APPLICANT(S) FOR DO/EO/US

Wieslaw BICZ

Applicant herewith submits to the United States Designated/Elected Office (DO/EU/US) the following .

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 USC 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 USC 371.
3. ☐ This is an express request to begin national examination procedures (35 USC 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 USC 317(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 USC 371(c)(2)).
  - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau.
  - b. ☐ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Patent Office.
6. ☒ A translation of the International application into English.
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 USC 371(c)(3)).
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau.
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 USC 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 USC 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 USC 371(c)(5)).

**Items 11. to 16. below concern documents or information included:**

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An Assignment for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.  
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items of information.  
Drawing (2 sheets)

US Application no (if known)

10/07035

International Application no.

PCT/EP00/03489

JC13 Rec'd PCT/PTO  
Attorney's Docket No.

19 OCT 2001

22037

## 17. The following fees are submitted:

Basic National Fee (37 CFR 1.492(a)(1)-(5):

Search report has been prepared by the EPO or JP ..... \$890.00

Int'l prel. exam. fee paid to USPTO (37 CFR 1.482) ..... \$710.00

No int'l prel. exam. fee paid to USPTO (37 CFR 1.482)

but int'l search fee paid to USPTO (37 CFR 1.445(a)(2)) ..... \$740.00

Neither int'l prel. exam fee (37 CFR 1.482) nor

int'l search fee (37 CFR 1.455(a)(2)) paid to USPTO ..... \$1040.00

Intl. prel. exam. fee paid to USPTO (37 CFR 1.482)

and all claims satisfied provisions of PCT Art. 33(2-4) ..... \$100.00

ENTER APPROPRIATE BASIC FEE AMOUNT

Surcharge of \$130.00 for furnishing oath or declaration later than ☐ 20 ☐ 30  
months from the earliest claimed priority date (37 CFR 1.492(e)).

CLAIMS	NO. FILED	NO. EXTRA	RATE		
Total claims	5	0	\$18	\$0	
Ind. claims	0	0	\$84	\$0	
MULTIPLE DEP. CLAIM(S) (if applicable) (see prel. amt.)			280		
TOTAL OF ABOVE CALCULATIONS				\$1,040	
Reduction of ½ for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (37 CFR 1.2, 1.27, 1.28)				\$520	
SUBTOTAL				\$520	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).					
TOTAL NATIONAL FEE				\$520	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The Assignment may be accompanied by an appropriate PTO-1595 cover sheet (37 CFR 3.28, 3.39)					
TOTAL FEES ENCLOSED					
				Amt to be refunded	
				Amt to be charged	

a. ☐ A check in the amount of \$ to cover the above fees is enclosedb. ☐ Please charge my deposit account 18-2025 \$ to cover the above fees. A copy of this sheet is enclosed.c. ☒

Please charge the amount due to the credit card identified in the attached PTO-2038.

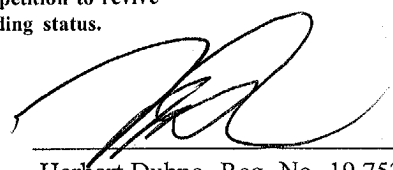
d. ☒ The commissioner is authorized to charge any additional fees which may be required or credit any overpayment to deposit  
account 18-2025. A copy of this sheet is enclosed

e. A PTO-2038 in the amount of \$ to cover recordal of the Assignment is enclosed

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive  
(37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

Send all correspondence to:

**The Firm of Karl F. Ross P.C.**  
**5676 Riverdale Ave. Box 900**  
**Riverdale (Bronx), NY 10471**

  
 Herbert Dubno, Reg. No. 19,752

22037

IN THE U.S. PATENT AND TRADEMARK OFFICE

Inventor Wieslaw BICZ  
Patent App. Not known (US Nat'l phase of PCT/EP00/03489)  
Filed Concurrently herewith  
For IMPULSE SOUND TRANSDUCER WITH AN ELEMENTARY  
BLOCK MADE OF PIEZOELECTRIC MATERIAL

Hon. Commissioner of Patents  
Washington, DC 20231

RECORD OF TRANSMITTAL--PCT APPLICATION

<input checked="" type="checkbox"/>	PCT Transmittal	
<input checked="" type="checkbox"/>	PCT Application	
<input checked="" type="checkbox"/>	Translation	
<input checked="" type="checkbox"/>	Sheets of Drawing (2)	
<input checked="" type="checkbox"/>	PCT Declaration	
<input checked="" type="checkbox"/>	PCT Documents	
<input checked="" type="checkbox"/>	International Search Report	
<input checked="" type="checkbox"/>	Preliminary Amendment	
<input type="checkbox"/>	Assignment	
<input checked="" type="checkbox"/>	PTO-2038 for Official Fees	
<input checked="" type="checkbox"/>	Basic Fee (Small Entity)	\$520.00
<input type="checkbox"/>	Ind. claims in excess of 3	\$00.00
<input type="checkbox"/>	Claim in excess of 20	\$00.00
	Total	\$520.00

Please charge any fees not covered by an enclosed PTO-2038 to account 18-2025 of the undersigned.

19 October 2001

5676 Riverdale Ave. Box 900  
Bronx, NY 10471-0900  
Tel: (718) 884-6600  
Fax: (718) 601-1099  
Customer No. 535  
rg

Respectfully submitted,  
The Firm of Karl F. Ross P.C.

Herbert Dubno, Reg. 19,752

10/070351  
CERTIFICATE OF EXPRESS MAIL  
FIRST CLASS MAILING  
JC13 Rec'd PCT/PTO 19 OCT 2001  
I hereby certify that this correspondence is  
being deposited with the United States  
Postal Service as first class mail, or as  
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Patents and Trademarks, Washington, D.C.  
20231, on OCT 19 2001  
EL 842185792  
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State of New York       )  
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County of the Bronx     )       ss:

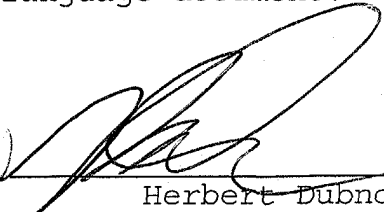
TRANSLATOR'S AFFIDAVIT

I, Herbert Dubno, a citizen of the United States of America, residing in Riverdale (Bronx), N.Y., depose and state that:

I am familiar with the English and German languages;

I have read a copy of the German-language document attached hereto, namely PCT/EP00/03489; and

The hereto-attached English-language text is an accurate translation of the above-identified German-language document.

  
Herbert Dubno

Sworn to and subscribed before me  
12 October 2001

  
Elise Friedman  
Notary Public

**ELISE FRIEDMAN**  
**NOTARY PUBLIC, State of New York**  
No. 4715730  
Qualified in Bronx County  
Commission Expires May 31, 2002